

EXHIBIT B

CLOSED, EFILE

**U.S. District Court
District of Connecticut (New Haven)
CIVIL DOCKET FOR CASE #: 3:19-mc-00114-CSH**

Hurry Family Revocable Trust et al v. Frankel et al
Assigned to: Judge Charles S. Haight, Jr
Cause: Motion to Compel

Date Filed: 09/26/2019
Date Terminated: 10/25/2019
Jury Demand: None
Nature of Suit: 990 Other
Jurisdiction: Federal Question

Plaintiff

Hurry Family Revocable Trust

represented by **Brian E. Spears**
Spears Manning & Martini LLC
2425 Post Road
Suite 203
Southport, CT 06890
203-292-9766
Email: bspears@spearsmanning.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Plaintiff

Alpine Securities Corporation

represented by **Brian E. Spears**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Plaintiff

**Scottsdale Capital Advisors
Corporation**

represented by **Brian E. Spears**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

V.

Defendant

Christopher Frankel

Witness

Vision Financial Markets, LLC

represented by **Jenny R. Chou**
Wiggin & Dana-NH
265 Church St.
P.O. Box 1832
New Haven, CT 06510

203-498-4302
 Email: jchou@wiggin.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
09/26/2019	1	MOTION to Compel Subpoena Compliance As To Vision Financial Markets LLC (paid \$47 fee; receipt number ACTDC-5457050), filed by Hurry Family Revocable Trust, Scottsdale Capital Advisors Corporation, Alpine Securities Corporation.Responses due by 10/17/2019 (Attachments: # 1 Memorandum in Support, # 2 Exhibit Declaration of Jordan Susman)(Spears, Brian) (Entered: 09/26/2019)
09/26/2019	2	NOTICE of Appearance by Brian E. Spears on behalf of Alpine Securities Corporation, Hurry Family Revocable Trust, Scottsdale Capital Advisors Corporation (Spears, Brian) (Entered: 09/26/2019)
09/26/2019		Judge Charles S. Haight, Jr added. (Oliver, T.) (Entered: 09/27/2019)
09/26/2019	3	ELECTRONIC FILING ORDER FOR COUNSEL - PLEASE ENSURE COMPLIANCE WITH COURTESY COPY REQUIREMENTS IN THIS ORDER Signed by Judge Charles S. Haight, Jr on 09/26/2019.(Nuzzi, Tiffany) (Entered: 09/27/2019)
10/10/2019	4	NOTICE of Appearance by Jenny R. Chou on behalf of Vision Financial Markets, LLC <i>NOTICE OF APPEARANCE OF JENNY CHOU</i> (Chou, Jenny) (Entered: 10/10/2019)
10/10/2019	5	First MOTION to Transfer to Another District <i>THIRD PARTY VISION FINANCIAL MARKETS LLC'S MOTION TO TRANSFER AND FOR EXTENSION OF TIME TO RESPOND TO PLAINTIFFS' MOTION TO COMPEL</i> by Vision Financial Markets, LLC. (Attachments: # 1 Memorandum in Support MEMORANDUM OF LAW IN SUPPORT OF THIRD PARTY VISION FINANCIAL MARKETS LLC'S MOTION TO TRANSFER AND FOR EXTENSION OF TIME TO RESPOND TO PLAINTIFF'S MOTION TO COMPEL, # 2 Affidavit DECLARATION OF JENNY R. CHOU IN SUPPORT OF THIRD PARTY VISION FINANCIAL MARKETS LLC'S MOTION TO TRANSFER AND FOR EXTENSION OF TIME TO RESPOND TO PLAINTIFF'S MOTION TO COMPEL, AND EXHIBIT [A] HURRY v. FRANKEL DOCKET AS OF 10-9-2019 AND EXHIBIT [B] RULING AND ORDER IN BROWN v. LOWE'S)(Chou, Jenny) (Entered: 10/10/2019)
10/25/2019	6	NOTICE of Voluntary Dismissal of Case Without Prejudice by Alpine Securities Corporation, Hurry Family Revocable Trust, Scottsdale Capital Advisors Corporation (Spears, Brian) (Entered: 10/25/2019)
10/25/2019	7	ELECTRONIC ORDER dismissing case. In light of Plaintiffs' 6 <i>Notice of Voluntary Dismissal of Action Without Prejudice</i> , pursuant to Federal Rule of Civil Procedure 41(a)(1)(A)(i), this case is hereby dismissed, as stipulated,

"without prejudice." Doc. 6, at 1. The Clerk is directed to terminate all pending motions and close the file. Signed by Judge Charles S. Haight, Jr. on October 25, 2019. (Gitlin, A.) (Entered: 10/25/2019)

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